

FALLBROOK PUBLIC UTILITY DISTRICT

PREQUALIFICATION OF BIDDERS

FOR CONSTRUCTION OF PIPELINE & VALVE REPLACEMENT PROJECT

**PREQUALIFICATION PACKAGES DUE: SEPTEMBER 11, 2024
2:00 PM, PREVAILING LOCAL TIME**

FALLBROOK PUBLIC UTILITY DISTRICT
990 E. Mission Road
Fallbrook, CA 92028

FALLBROOK PUBLIC UTILITY DISTRICT
PREQUALIFICATION OF BIDDERS FOR
PIPELINE & VALVE REPLACEMENT PROJECT

TABLE OF CONTENTS

	Page
NOTICE REQUESTING PREQUALIFICATION PACKAGES	1
INSTRUCTIONS FOR PREPARATION AND SUBMISSION.....	4
PREQUALIFICATION QUESTIONNAIRE	6
PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION.....	7
PART II. INFORMATION REGARDING THE ORGANIZATION, HISTORY, PERFORMANCE, SAFETY PRACTICES, AND COMPLIANCE WITH CIVIL AND CRIMINAL LAWS..	11
A. CURRENT ORGANIZATION AND STRUCTURE OF THE BUSINESS	11
B. HISTORY OF THE BUSINESS AND ORGANIZATIONAL PERFORMANCE	14
C. PREQUALIFICATION SCORED QUESTIONS	16
PART III. COMPLETED VOLUME OF REQUIRED CRITERIA	22
A. PROJECT EXPERIENCE	22
B. PROJECT MANAGER EXPERIENCE	28
PART IV. PROJECT EXPERIENCE	31
A. CURRENT STATUS OF OPEN CONSTRUCTION PROJECTS	31
B. RECENT CONSTRUCTION PROJECTS COMPLETED.....	32
C. PROJECT EXPERIENCE – INTERVIEW QUESTIONS.....	33
CERTIFICATION	35
ATTACHMENT NO. 1 MINIMUM INSURANCE REQUIREMENTS	36
ATTACHMENT NO. 2 SCORING INSTRUCTIONS	38
PREQUALIFICATION EVALUATION.....	39

**NOTICE REQUESTING PREQUALIFICATION PACKAGES
FOR BIDDERS LIST FOR
PIPELINE & VALVE REPLACEMENT PROJECT**

FALLBROOK PUBLIC UTILITY DISTRICT

Notice is hereby given that the Fallbrook Public Utility District (“District”) has determined that all contractors bidding on its Pipeline & Valve Replacement Project (“Project”) must be prequalified prior to submitting a bid on the Project.

It is mandatory that all contractors (“bidder”, “prospective bidder”, or “contractor”) who intend to submit a bid for the Project fully complete a prequalification application package (“Prequalification Package”), including but not limited to the Prequalification Questionnaire (“Questionnaire”), provide all materials requested herein, and be deemed prequalified by the District to be on the approved list of bidders (“Approved Bidders List – Pipeline & Valve Replacement Project”). Unless expressly stated in future Notice Inviting Bids, no bid will be accepted from any contractor that is not on District’s Approved Bidders List – Pipeline & Valve Replacement Project. If two or more business entities expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must submit a fully complete Prequalification Package and be separately qualified to bid. Refer to Instructions for Preparation and Submission of Prequalification Packages section for additional information.

The completed Prequalification Package must be delivered to and received by the District no later than **2:00 PM September 11, 2024**. Prequalification Packages must include three (3) hard copies (one original and two copies), an electronic version on a CD or thumb drive in searchable .pdf format, and be submitted in sealed envelopes marked “Confidential. Approved Bidders List – Pipeline & Valve Replacement Project,” as further described in the Instructions for Preparation and Submission.

Prospective bidders may obtain an electronic copy of the Prequalification Package from Kevin Collins, kcollins@fpud.com.

The District reserves the right to cancel this Notice or to reject any and all responses and Prequalification Packages, if it determines, in its sole discretion, that such cancellation or rejection is in the best interest of the District. The District reserves the right to bid construction of the Project without use of the approved list of bidders.

Answers to questions contained in the Prequalification Package, information about current bonding capacity, a notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information, will be used by the District in order to rate contractors with respect to their qualifications to bid on the Project. The District reserves the right to check any other sources available to verify contractor’s statements, prior performance and veracity. The District’s decision will be based on objective evaluation criteria.

The District reserves the right to adjust, increase, limit, suspend or rescind the prequalification rating based on subsequently learned information. Contractors whose rating changes sufficient to disqualify them will be notified and given an opportunity for a hearing consistent with the hearing procedures contained herein.

While the prequalification process is intended to assist the District in determining bidder responsibility prior to bid and aid the District in selecting the lowest responsible bidder for construction of the Project, neither the fact of prequalification, nor any prequalification rating, will

preclude the District from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

The Prequalification Packages submitted by contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification or investigation, or in the appeal hearing. State law requires that the names of contractors applying for prequalification status shall be public records subject to disclosure, and the first page of the Questionnaire will be used for that purpose.

The contractor shall provide only complete and accurate information. The contractor acknowledges that the District is relying on the truth and accuracy of the responses contained herein. Each Prequalification Package must include a signed affidavit, which shall be signed under penalty of perjury in the manner designated and by an individual who has the legal authority to bind the contractor. If any information provided by a contractor becomes inaccurate, the contractor must immediately notify the District and provide updated accurate information in writing, under penalty of perjury. Should a contractor omit requested information or falsify information, the District may determine that contractor not prequalified.

District reserves the right to waive minor irregularities and omissions in the information contained in a Prequalification Package submittal and to make all final determinations. The District may refuse to grant prequalification where the requested information and materials are not provided. There is no appeal from the District's decision not to prequalify a contractor due to an incomplete or late application.

Where a timely and completed prequalification application results in a rating below that necessary to prequalify, an appeal can be made. To appeal, the contractor must deliver notice to the District of its appeal of the decision with respect to its prequalification rating, no later than five (5) calendar days after the District staff issues its recommendation of the qualified bidders list of contractors for the Project. Without a timely appeal, the contractor waives any and all rights to challenge the decision of the District, whether by administrative process, judicial process, or any other legal process or proceeding.

If a contractor provides the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded no later than ten (10) calendar days after the District's receipt of the notice of appeal. The hearing shall be an informal process conducted by the District's General Manager or designee, who is delegated responsibility to hear such appeals (the "Appeals Panel"). At or prior to the hearing, the contractor will be advised of the basis for the District's prequalification determination. The contractor will be given the opportunity to present information and reasons opposing the rating. Within two (2) business days after the conclusion of the hearing, the Appeals Panel shall render its decision, which will be final and binding. It is the intention of the District that the date for the submission and opening of any bids will not be delayed or postponed to allow for completion of an appeal process.

The Prequalification Package, its completion and submission by the contractor, and its use by the District, shall not give rise to any liability on the part of the District to the contractor or any third party or person. This is **not** a solicitation for bid. No guarantees are made or implied that any projects will be constructed, either in part or whole. The contractor accepts all risk and cost associated with the completion of the Prequalification Package without financial guarantee.

PROJECT DESCRIPTION

District anticipates, subject to change in the District's sole discretion, the Project requiring prequalification shall generally consist of the following:

The work to be done includes furnishing all necessary labor, equipment, and materials for the construction of 6-inch to 12-inch cement mortar lined and coated steel water distribution pipeline and appurtenances. The work will be in streets and rights-of-way in residential and commercial neighborhoods, requiring neighborhood coordination, traffic control, quality steel pipe workmanship and certified welding, complex system tie-in planning and coordination, etc. For work in existing streets with active water pipelines, maintaining operation of the existing distribution system while constructing the replacement pipelines is an essential aspect of the Project.

The construction cost of the Project is estimated at not less than \$4,000,000.

ANTICIPATED SCHEDULE

The anticipated prequalification schedule is summarized as follows:

Date	Milestone
August 21, 2024	District issues request for prequalification from contractors.
September 4, 2024	Deadline for submitting questions.
September 11, 2024	District receives Prequalification Packages.
September 16, 2024	District issues recommendation of qualified bidders list for contractors.
September 25, 2024	Last day for contractors to appeal the District's decision with respect to prequalification ratings and to deliver notice of appeal to the District.
October 28, 2024	District adopts Approved Bidders List.

Questions and Appeals: Specific questions regarding the prequalification process or any requests for appeals shall be made in writing and addressed to:

Kevin Collins
kcollins@fpud.com
990 E. Mission Road
Fallbrook, CA 92028

Telephone (760) 999-2734

INSTRUCTIONS FOR PREPARATION AND SUBMISSION OF PREQUALIFICATION PACKAGES

Each contractor wishing to bid on any contracts for Fallbrook Public Utility District's ("District") Pipeline & Valve Replacement Project ("Project") must fully complete the Prequalification Package, provide all information and materials requested, and satisfy the prequalification criteria.

If two or more business entities wish to bid as a joint venture ("JV"), each entity must fully complete the Prequalification Package and be separately deemed prequalified to bid. The JV must be properly licensed by the California Contractor's State Licensing Board prior to submission of a bid on the Project.

As provided in Public Contract Code Section 20101, completed Prequalification Packages and financial information are not public records and will not be open to public inspection. All information provided will be kept confidential to the extent permitted by law. The District reserves the right to reject any and all submittals and to waive any irregularities in the information contained herein.

Each Prequalification Package must be completed with all information requested and be signed under penalty of perjury by an individual who has the legal authority to bind the contractor on whose behalf that person is signing. The District must receive all Prequalification Packages and materials requested therein by the date and time stated in the Notice Requesting Prequalification Packages ("Notice"). Prequalification Packages that are incomplete, unsigned or received after the specified time will be returned unopened, and the contractor will not be eligible to participate in the bidding of projects subject to the District's Approved Bidders List – Pipeline & Valve Replacement Project.

Attach additional sheets as needed to provide complete responses.

Except where information related to former entities is expressly required herein, for purposes of evaluation, scoring and prequalification, all required contractor's information, including but not limited to experience, personnel and finances, must be provided for the entity holding the contractor's license. Information related to parent companies, subsidiaries, and any other related entity or proposed subcontractors will not be considered.

The prequalification of contractors will be determined by evaluation of the information submitted by contractors. The District may verify any or all information provided in a completed Prequalification Package, consider information provided by sources other than the contractor, and conduct such investigations as the District deems appropriate to assist in the evaluation of the contractor's responsibility, qualifications, and financial capacity.

If a contractor seeking prequalification has not been in business for the time period for which information is requested, it shall submit information on predecessor entities covering that time period.

District will evaluate all completed Prequalification Packages on a Pass/Fail basis and a points-based rating system. In order to be deemed prequalified and listed on the District's Approved Bidders List – Pipeline & Valve Replacement Project, a contractor must obtain a "Pass" rating on all of the criteria set forth in Part I and meet the minimum rating requirements of the District for Part II(C). Contractors who do not obtain a "Pass" rating for all of the criteria in Part I will not be deemed prequalified regardless of the scores obtained in Part II(C).

The contracts for construction of the Project will be awarded, if at all, to the responsible, prequalified contractor submitting the lowest responsive bid. Neither issuing the Notice nor any

other activity related to the prequalification process, obligates the District to award any contract for construction of the Project to a particular contractor, or at all.

District reserves the right to reject any or all Prequalification Packages and to waive any irregularities in any Prequalification Package submittal. The District reserves the right to determine that any contractor is not qualified at any time before or after the Prequalification Packages are received and evaluated if it finds that information provided in the response to the Notice is materially inaccurate or false, or upon evidence of collusion or other illegal practices on the part of a contractor.

If any information provided by a contractor becomes inaccurate, the party who provided the information must immediately notify the District and provide updated accurate information in writing and under penalty of perjury.

District will notify all respondents of the result of the prequalification process in writing.

Any question or objection to the prequalification materials, or explanation regarding the meaning or interpretation of the Prequalification Package and related materials, must be requested in writing by overnight mail, e-mail or facsimile, before the time listed in the Notice. Oral explanations or instructions will not be binding unless issued as a formal addendum to the Prequalification Package. Any information provided to any contractor concerning a solicitation will be furnished to all contractors who requested a Prequalification Package. All contractors must acknowledge the receipt of any/all addenda on the form and in the manner required with each addendum.

By submitting a completed Prequalification Package, the contractor waives any and all objections to the form and content of the Prequalification Package, or the evaluation criteria.

Contractors should submit questions regarding the Prequalification Package in writing to the District as follows:

Kevin Collins
kcollins@fpud.com
990 E. Mission Road
Fallbrook, CA 92028

Telephone (760) 999-2734

By my signature below, I acknowledge receipt of this document and agree to be bound by its terms and agree to submit it as part of the Prequalification Package.

Dated: _____

Name of contractor on whose behalf
this document is signed: _____

FALLBROOK PUBLIC UTILITY DISTRICT
PREQUALIFICATION OF BIDDERS
FOR APPROVED BIDDERS LIST
PIPELINE & VALVE REPLACEMENT PROJECT

PREQUALIFICATION QUESTIONNAIRE

CONTACT INFORMATION:

Full Name of Contractor (as it appears on CSLB license): _____

Type of Organization (Corporation, Partnership, Sole Proprietor, Joint Venture, etc.): _____

Corporate Tax Identification Number: _____

Is the corporation currently registered and in good standing with the State of California Secretary of State? _____

Street Address of Contractor: _____

Contractor's Contact Person: _____

Phone: _____ Fax: _____

Email Address: _____

List all California construction or other professional license numbers, classifications and expiration dates held by your firm:

License Number	Classification	Expiration Date

List your firm's DIR Public Works Contractor (PWCR) Registration Number(s):

PWCR Number	Entity Name	Expiration Date

If any of your firm's license(s) are held in the name of a corporation, limited liability company, or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

License Number	Qualifying Individual	Expiration Date

**THIS PAGE IS SUBJECT TO DISCLOSURE
TO THIRD PARTIES**

FALLBROOK PUBLIC UTILITY DISTRICT
PREQUALIFICATION OF BIDDERS
FOR APPROVED BIDDERS LIST
FOR PIPELINE & VALVE REPLACEMENT PROJECT

PART I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

An answer of “no” to any of the Questions 1 through 8 will be rated a “Fail” and the contractor will be immediately disqualified.

An answer of “yes” to any of the Questions 9 through 15 below will be rated a “Fail” and the contractor will be immediately disqualified.

1. Does your firm possess a valid and current California Contractor’s or other professional license as required by law for the project or projects for which it intends to submit a bid?

Yes No

2. Is your firm registered with DIR as a Public Works Contractor for the current fiscal year and will your firm maintain its DIR registration throughout the course of construction of the Project?

Yes No

3. Will you comply with and provide all insurance as defined in Attachment No. 1 “District Minimum Insurance Requirements”?

Yes No

NOTE: Contractor shall furnish a statement indicating the contractor’s ability to provide the insurance defined in Attachment No. 1. The contractor will be required to provide insurance as defined in the Project Contract Documents upon actual bid and award.

4. Does your firm have a current workers’ compensation insurance policy as required by the Labor Code or is your firm legally self-insured pursuant to Labor Code sections 37000 et seq.?

Yes No

5. Have you attached, within a separate sealed envelope marked as indicated below, your firm’s latest copy of reviewed or audited financial statements with accompanying notes and supplemental information¹?

Yes No

¹ Public Contract Code section 20101(e) exempts from this requirement a contractor who has qualified as a Small Business Administration entity pursuant to Government Code section 14837(d)(1), if the bid is “no more than 25 percent of the qualifying amount provided in section 14837(d)(1).” As of January 1, 2019, the qualifying amount is \$15 million, and 25 percent of that amount, therefore, is \$3.75 million.

NOTE: For Question 5, financial statement that is not either reviewed or audited is not acceptable. A letter verifying availability of a line of credit may also be attached; however, it will be considered as supplemental information only and is not a substitute for the required financial statement.

The separate sealed envelope shall be marked as follows:

Proprietary – Not For Public Disclosure
Financial Statement of _____ [Contractor's Name] _____
Prequalification for Approved Bidders List for Pipeline & Valve Replacement
Project

6. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) authorized to issue bonds in the State of California, which states that: (a) your current bonding capacity is sufficient for the project for which you seek prequalification if you are seeking prequalification for a single project; or valid for a year if you are seeking prequalification valid for a year; and (b) your current available bonding capacity?²

Yes No

NOTE: For Question 6, notarized statement must be from the surety company, not an agent or broker.

7. Contractor has performed, as the general contractor, at least three (3) welded steel water distribution pipeline projects of similar size or larger and similar complexity, within the last six (6) years, including experience with more than one public agency, or has satisfactorily completed an FPUD CML&C pipeline project within the past eight (8) years.

For the purposes of this question, a “welded steel water distribution pipeline project” is defined as requiring all of the following:

- (i) Installation of 6-inch to 24-inch cement mortar lined and coated steel water distribution pipe;
- (ii) Traffic control;
- (iii) Tie-in planning and coordination.

Contractor’s construction experience must demonstrate experience in each of the above requirements (“Required Criteria”).

Yes No

NOTE: For Question 7, contractor must provide supporting project information in Part III. Failure to provide the necessary information in Part III will result in a “No” response to this question.

² An additional notarized statement from the surety may be requested by District at the time of submission of a bid, if this prequalification questionnaire is submitted more than 60 days prior to bid submission.

8. Contractor has a Project Manager who will be assigned to the Project with at least five (5) years of experience and who has successfully completed at least two (2) projects of similar size and complexity which have a total construction cost of at least \$1,000,000, completed in the last eight (8) years, or has satisfactorily completed an FPUD CML&C pipeline project within the past eight (8) years. The Project Manager must have experience with each Required Criteria.
- Yes No

NOTE: For Question 8, contractor must provide supporting information in Part III. Failure to provide the necessary information in Part III will result in a “No” response to this question.

9. Has your contractor’s or other professional license been revoked, denied or suspended at any time in the last five years?

Yes No

10. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was in default and/or terminated by the project owner within the last five years?

Yes No

11. Is your firm, any of its officers, supervisors, managers, or any firm or individual identified in Part II ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to Labor Code section 1777.1, Labor Code Section 1777.7, or any other federal, state, county, municipal or other local law providing for the debarment of contractors from public works.

Yes No

12. Has your firm, any of its officers, supervisors, managers, or any firm or individual identified in Part II been convicted of a crime involving the awarding of a contract of a government construction project, the bidding or performance of a government contract, antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty?

Yes No

13. Is your firm currently the debtor in a bankruptcy case?

Yes No

14. Has your firm, any of its officers, supervisors, managers, or any firm or individual identified in Part II ever been terminated from a public works contract, including but not limited to termination based on any misconduct, such as failure to comply with contractual, statutory, or other legal obligations from any public construction project?

Yes No

15. Does your firm, any of its officers, supervisors, managers, or any firm or individual identified in Part II currently have any delinquent liability to an employee, the state, or any awarding body for any assessment of back wages or related damages, interest, fines or penalties pursuant to any final judgment, order, or determination by any court or any federal, state, or local administrative agency, including a confirmed arbitration award?

Yes No

END OF PART I

PART II. INFORMATION REGARDING THE ORGANIZATION, HISTORY, PERFORMANCE, SAFETY PRACTICES, AND COMPLIANCE WITH CIVIL AND CRIMINAL LAWS

A. Current Organization and Structure of the Business

For Contractors That Are Corporations:

1a. Date incorporated: _____

1b. Under the laws of what state: _____

1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten percent of the corporation's stock.

Name	Position	Years with Co.	% Ownership

1d. Identify every construction, equipment manufacturer, or material supply firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, "owner" and "partner" refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person's Name	Name of Company	Dates of Person's Participation with Company

For Contractors That Are Limited Liability Companies (LLC):

1a. Date Articles of Organization filed: _____

1b. Under the laws of what state: _____

1c. Provide all the following information for all members of the LLC:

Name	Position	Years with Co.	% Ownership

- 1d. Identify every construction, equipment manufacturer, or material supply firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person’s Name	Name of Company	Dates of Person’s Participation with Company

For Contractors That Are Partnerships:

- 1a. Date of formation: _____
- 1b. Under the laws of what state: _____
- 1c. Provide all the following information for each partner who owns ten percent or more of the firm.

Name	Position	Years with Co.	% Ownership

- 1d. Identify every construction, equipment manufacturer, or material supply company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person’s Name	Name of Company	Dates of Person’s Participation with Company

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For Contractors That Are Sole Proprietorships:

- 1a. Date of commencement of business: _____
- 1b. Identify every construction, equipment manufacturer, or material supply firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten percent or more of the business, or ten percent or more of its stock, if the business is a corporation.

Person’s Name	Name of Company	Dates of Person’s Participation with Company

For Contractors That Intend to Make a Bid as Part of a Joint Venture:

- 1a. Date of commencement of joint venture: _____
- 1b. Provide all the following information for each firm that is a member of the joint venture that expects to bid on one or more projects.

Name of Construction Firm	% Ownership

NOTE: Explain on a separate sheet. Provide all other pertinent information required in the sections above, for each Corporation, LLC, Partnership, or Sole Proprietorship that is a part of the Joint Venture.

For All Firms:

- 1a. At any time during the past five years, has your firm shared office space, warehouse space, yard, plant or shop facilities, staff, equipment, telecommunications or other assets with any other construction firm? (If yes, identify and explain below.)

Yes No

Construction Firm	Description of Sharing Agreement	Location of Facilities

B. History of the Business and Organizational Performance

2. State your firm's gross revenues for each of the last three fiscal years:

Current year (\$): _____
 Previous year (\$): _____
 Year prior to previous year (\$): _____

3. How many years has your firm been in business in California as a contractor under the contractor's present business name and license number?

_____ years

4. Bonding Capacity – Provide documentation from your surety identifying the following:

Name of Bonding Company/Surety: _____
 Name of Surety Agent: _____
 Address: _____
 Telephone Number: _____

5. If your firm was required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one percent, if you wish to do so.

6. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project? _____

7. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

Current year rate: _____
 Previous year rate: _____
 Year prior to previous year rate: _____

8. Does your firm regularly utilize a third party consultant to review labor compliance documents of subcontractors on a public works project?

Yes No

9. Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to employ on any public works project awarded by the District.

Name	Address	Phone

10. Provide the name, address and telephone number of the apprenticeship program (approved by the California Apprenticeship Council) from whom you have requested and/or employed apprentices in the past three years.

Name	Address	Phone

11. If your firm operates its own State-approved apprenticeship program:

- (1) Identify the craft or crafts in which your firm provided apprenticeship training in the past year;
- (2) State the year in which each such apprenticeship program was approved and attach evidence of the most recent California Apprenticeship Council approval(s);
- (3) For each craft, list the number apprentices employed by your firm and the number of individuals that completed apprenticeships while employed by your firm during the last three years.

Craft	Year	No. Apprentices	No. Completed

12. Has your firm changed names or license numbers in the past five years? (If yes, explain on a separate sheet, including the reason for the change.)

Yes No

13. Has there been any change in ownership of your firm at any time during the last five years?
(If yes, explain on a separate sheet.)

Yes No

NOTE: A corporation whose shares are publicly traded is NOT required to answer this question.

14. Is your firm a subsidiary, parent, holding company or affiliate of another construction firm?
(If yes, explain on a separate sheet.)

Yes No

NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

15. Has any owner, partner and/or officer of your firm operated or been connected to a construction firm under any other name in the last five years not listed in Section A or B above at any time in the past five years?

Yes No

(If yes, provide the person's name, construction firm name, and dates of participation.)

Name	Construction Firm	Dates of Participation

C. Prequalification Scored Questions

<u>Prior History</u>		0	1	2	3	4	5+
1.	How many times has your firm, or any of its officers, supervisors, or managers, declared bankruptcy at any time during the last five years? (This question refers only to a bankruptcy action that was not described in your answer to Question 13 in Section B. If yes, attach a copy of the bankruptcy petition showing the case number and the date on which the petition was filed, a copy of the Bankruptcy Court's discharge order or any other document that concluded the case if no discharge order was issued.)						
2.	How many times has your firm, or any of its officers, supervisors, or managers, had an injunction, judgment, order, or lien entered against it for outstanding taxes assessed or fines, penalties and/or unpaid employee wages at any time in the last five years?						

	(Explain on a separate sheet. Provide details, including the name of the government agency, caption, date, case or docket number, and disposition. Be sure to note any judgments or liens that have not been fully satisfied.)						
3.	<p>How many times in the past five years has your firm, or any of its officers, supervisors, or managers, been a party in any civil litigation or administrative proceeding alleging violation of any of the following: contract antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty?</p> <p>(Explain on a separate sheet. Provide details including the nature of the claims and defenses, caption, date, case or docket number, name of the court or agency before which the case is pending or which it was heard, and current status.)</p>	0	1	2	3	4	5+
4.	<p>How many times in the past five years has your firm, or any of its officers, supervisors, or managers, been a party in any civil litigation or administrative proceeding alleging a violation by a subcontractor hired by your firm or any of the following: contract antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty?</p> <p>(Explain on a separate sheet. Provide details including the nature of the claims and defenses, caption, date, case or docket number, name of the court or agency before which the case is pending or which it was heard, and current status.)</p>	0	1	2	3	4	5+
5.	<p>How many times in the past five years has your firm, or any of its officers, supervisors, or managers, paid any amount, fine or otherwise, regardless of characterization, to settle any of the allegations listed in Questions 3 and 4 above, whether with or without an admission of responsibility or liability?</p> <p>(Explain on a separate sheet. Provide details, including the caption, date, case or docket number, and name of the court or agency before which the case was brought.)</p>	0	1	2	3	4	5+
6.	<p>How many times has your firm, or any of its officers, supervisors, or managers, been debarred, suspended, disqualified, denied a classification rating or pre-qualification or otherwise been declared not responsible to or prevented from bidding or performing work on any public works contract or subcontract in the last five years?</p>	0	1	2	3	4	5+

	(Explain on a separate sheet. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, name of the person within your firm who was associated with that company, date, owner of the project, project name and information, basis for the action, and case or docket number.)						
7.	How many times in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner? (Explain on a separate sheet. Identify all such projects by owner, owner's address, date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.)	0	1	2	3	4	5+
8.	How many times during the past five years, has any surety company made any payments on your firm's behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm's behalf, in connection with a construction project, either public or private? (Explain on a separate sheet. Identify the amount of each such claim, name and telephone number of the claimant, date of claim, grounds for claim, present status of claim, date of resolution of such claim if resolved, method by which claim was resolved if resolved, nature of resolution and amount, if any, at which claim was resolved.)	0	1	2	3	4	5+
9.	How many times in the last five years has any insurance carrier, for any form of insurance, refused to renew an insurance policy for your firm? (Explain on a separate sheet. Name the insurance carrier, form of insurance, and year of refusal.)	0	1	2	3	4	5+
10.	How many times during the last five years, has your firm been denied bond coverage by a surety company, or has there been a period of time when your firm had no surety bond in place during a public works construction project when one was required? (Explain on a separate sheet. Indicate the date when your firm was denied coverage, name of the company or companies which denied coverage, and the time period during which you had no surety bond in place.)	0	1	2	3	4	5+
<u>Criminal Matters and Civil Suits</u>							
11.	How many times has your firm, or any of its officers, supervisors, or managers, ever been convicted of a crime involving any federal, state, or local law related to construction?	0	1	2	3	4	5+

	(Explain on a separate sheet. Identify who was involved, name of the public agency, date of conviction, and grounds for conviction.)						
12.	<p>How many times has your firm, or any of its officers, supervisors, or managers, ever been found liable in a civil suit or convicted of a federal or state crime of fraud, theft, or involving any other act of dishonesty, such as making any false claims or material misrepresentations?</p> <p>(Explain on a separate sheet. Identify the person or persons convicted or found liable, court [the county if a state court, the District or location if federal court], year, and conduct involved.)</p>	0	1	2	3	4	5+
<p>NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes involving amounts of less than \$50,000.</p>							
13.	<p>How many times in the last five years has any claim against your firm concerning your firm’s work on a construction project been filed in court or arbitration?</p> <p>(Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or, if resolved, a brief description of the resolution].)</p>	0	1	2	3	4	5+
14.	<p>How many times in the last five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?</p> <p>(Explain on a separate sheet. Identify the claim(s) by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim [e.g. "pending" or if resolved, a brief description of the resolution].)</p>	0	1	2	3	4	5+
<p>Occupational Health and Safety Compliance</p>							
15.	<p>How many times in the last five years has CalOSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violation(s) or the federal Occupational Safety and Health Administration cited and assessed penalties against your firm for violation(s) of safety or health regulations?</p> <p>NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not</p>	0	1	2	3	4	5+

	yet ruled on your appeal, you need not include information about it.						
16.	<p>How many times in the last five years has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor?</p> <p>(Explain on a separate sheet describing each citation.)</p> <p>NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.</p>	0	1	2	3	4	5+
17.	<p>How many times within the last five years has there ever been a period when your firm had employees but was without workers' compensation insurance or state-approved self-insurance?</p> <p>(Explain the reason for each absence of workers' compensation insurance on a separate sheet. If "None," please provide a statement by your current workers' compensation insurance carrier that verifies periods of workers' compensation insurance coverage for the last five years. If your firm has been in the construction business for less than five years, provide a statement by your workers' compensation insurance carrier verifying continuous workers' compensation insurance coverage for the period that your firm has been in the construction business.)</p>	0	1	2	3	4	5+
<u>Prevailing Wage and Apprenticeship Compliance</u>							
18.	<p>How many times during the last five years, has your firm been required to pay back wages and/or penalties related to state or federal prevailing wage laws for work performed by your firm?</p> <p>(Explain on a separate sheet. Describe the nature of each violation and identify the name of the project, date of its completion, public agency for which it was constructed, number of employees underpaid, and amount(s) of back wages and penalties your firm was required to pay.)</p> <p>NOTE: Question 18 refers only to the violation of prevailing wage laws by <u>your firm</u>, not to violations by a subcontractor.</p>	0	1	2	3	4	5+
19.	<p>How many times during the last five years, has your firm or any subcontractors hired by your firm been required to pay back wages and/or penalties related to state or federal prevailing wage laws for work performed by a subcontractor?</p> <p>(Explain on a separate sheet. Identify the subcontractor's business name and CSLB license number, describe the nature of each violation, and identify the name of the</p>	0	1	2	3	4	5+

	project, date of its completion, public agency for which it was constructed, number of employees underpaid, and amount(s) of back wages and penalties your firm was required to pay.) NOTE: This question refers only to the violation of prevailing wage laws by subcontractors, not to violations by your firm.						
20.	How many times during the last five years has your firm paid any penalties related to any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works projects, including Labor Code sections 1777.5 and 1777.7? (Explain on a separate sheet. Provide the date(s) of such findings, case number(s), and attach copies of the Department's final decision(s).)	0	1	2	3	4	5+
21.	How many times during the last five years has any subcontractor hired by your firm paid any penalties related to any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works projects, including Labor Code sections 1777.5 and 1777.7? (Explain on a separate sheet. Provide the date(s) of such findings, case number(s), and attach copies of the Department's final decision(s).)	0	1	2	3	4	5+



NOTE: Omissions, misrepresentations and any failure to disclose associations with other firms or any prior history of alleged violations may lead to loss of your eligibility to register as a Public Works Contractor, disqualification of bids, and/or grounds for termination of a contract.



END OF PART II

PART III. COMPLETED VOLUME OF REQUIRED CRITERIA

A. Project Experience

Part I, Question 7 requires a “yes” response to the following:

Contractor has performed, as the general contractor, at least three (3) welded steel water distribution pipeline projects of similar size or larger and similar complexity, within the last six (6) years, including experience with more than one public agency, or has satisfactorily completed an FPU D CML&C pipeline project within the past eight (8) years.

For the purposes of this question, a “welded steel water distribution pipeline project” is defined as requiring all of the following:

- (i) Installation of 6-inch to 24-inch cement mortar lined and coated steel water distribution pipe;
- (ii) Traffic control;
- (iii) Tie-in planning and coordination.

Contractor’s construction experience must demonstrate experience in each of the above requirements (“Required Criteria”).

The below information shall be used by the District to contact the Owner, or its representative, as applicable, on each of the below-listed projects to confirm that the Required Criteria have been met and to ask the authorized representative of the Owner, who has personal knowledge of Contractor’s performance and is able to provide an informed response to the following question: “Would you recommend the Contractor for employment on another project? Please respond ‘yes’ or ‘no.’” An answer of “no” will be rated a “Fail” for purposes of Part I, Question 7 and the Contractor will be deemed not prequalified.

Project # 1 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Date of Completion: _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

District Required Criteria Included in Project: _____

Project # 2 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Date of Completion: _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

District Required Criteria Included in Project: _____

Project # 3 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Date of Completion: _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

District Required Criteria Included in Project: _____

Project # 4 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Date of Completion: _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

District Required Criteria Included in Project: _____

Project # 5 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Construction Time: _____ Calendar Days

Date of Completion: _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

District Required Criteria Included in Project: _____

B. Project Manager Experience

Name below the Project Manager who will be assigned to the District with at least five (5) years of experience and who has successfully completed at least two (2) projects of similar size and complexity which have a total construction cost of at least \$1,000,000, completed within the last eight (8) years, or has satisfactorily completed an FPUD CML&C pipeline project within the past eight (8) years.

The Project Manager must have experience with each Required Criteria as defined under Part I, Question 8 of this Prequalification Package. Contractors may submit one alternate name in the event the first named person is not available. Experience in projects satisfying the Required Criteria of the alternate shall be provided on copies of this section. Provide resume, attached to the Prequalification Package, for the named Project Manager and alternate.

	Project Manager	Alternate
Name of Project Manager		
Number of Years of Total Construction Experience as a Project Manager on welded steel water distribution pipeline projects.		
Number of Years as a Project Manager for Your Company.		

List two welded steel water distribution pipeline projects satisfying the Required Criteria where the individuals named above held the position of Project Manager.

PM Project # 1 Name: _____

Owner: _____

Construction Bid Cost: \$ _____

Final Construction Cost: \$ _____

Owner's Representative: _____

Owner's Representative Telephone No.: _____

Owner's Representative Email: _____

Engineer: _____

Engineer's Telephone No.: _____

Engineer's Representative Email: _____

On-Site Construction Manager: _____

On-Site Construction Manager's Telephone No.: _____

On-Site Construction Manager's Email: _____

Date of Completion: _____

District Required Criteria Included in Project: _____

PM Project # 2 Name: _____
Owner: _____
Construction Bid Cost: \$ _____
Final Construction Cost: \$ _____
Owner's Representative: _____
Owner's Representative Telephone No.: _____
Owner's Representative Email: _____
Engineer: _____
Engineer's Telephone No.: _____
Engineer's Representative Email: _____
On-Site Construction Manager: _____
On-Site Construction Manager's Telephone No.: _____
On-Site Construction Manager's Email: _____
Date of Completion: _____
District Required Criteria Included in Project: _____

Note: If a contractor elects to name an alternate Project Manager, the contractor must complete and submit another experience form for that individual with this Prequalification Package.

END OF PART III

C. Project Experience – Interview Questions

The following questions may be used by the District to interview randomly selected contacts from at least two (2) completed projects. The contractor shall provide additional references upon request of the District. The District will conduct the interviews. No action on the contractor's part is necessary for questions 1 through 21, below. These questions are included in the package to the contractor for information purposes only.

1. Give a brief description of the project.
2. Are there any outstanding stop notices, liens, or claims by the contractor that are currently unresolved on contracts for which notices of completion were recorded more than 120 days ago?
3. Did the contractor provide adequate personnel?
4. Did the contractor provide adequate supervision?
5. Was there adequate equipment provided on the job?
6. Was the contractor timely in providing reports and other paperwork, including change order paperwork and scheduling updates?
7. Did the contractor adhere to the approved project schedule?
8. Was the project completed on time?
9. Did the Contractor timely submit reasonable cost and time estimates to perform change order work?
10. As a percentage of total project cost, was the value of change orders on the job that can be attributed to the contractor or subcontractors greater than 5%?
11. How well the contractor perform the work after a change order was issued, and how well the contractor integrate the change order work into existing work?
12. How well did the contractor perform in the area of turning in Operations & Maintenance manuals, completing as-built drawings, providing required training and taking care of warranty items?
13. Given the nature of the project, were there an unusually high number of claims or unusual difficulty in resolving them?
14. Did the contractor make timely payments to subcontractors and suppliers?

15. Were there any warranty items?
 - A. If Yes, did the contractor respond in a timely manner to a request for warranty work?
16. Was the job site cleaned according to contract specifications?
17. Any liquidated damages assessed? Yes ___ No ___
 - A. If yes, amount of LD \$ _____
18. Any knowledge of the contractor being denied an award or being prevented from bidding?
Yes ___ No ___
19. Did contractor provide at least 24 hour notice to call for inspections (if required)? Yes ___ No ___
20. Will the contractor be invited to bid on future projects? Yes ___ No ___
21. How would you rate the quality of the work overall?

END PART IV

CERTIFICATION

Questionnaires submitted by corporations must be signed with the legal name of the corporation, followed by the name of the state of incorporation and by the signature and designation of the chairman of the board, president or any vice president, and then followed by a second signature by the secretary, assistant secretary, the chief financial officer or assistant treasurer. All persons signing must be authorized to bind the corporation in the matter. The name of each person signing shall also be typed or printed below the signature. Satisfactory evidence of the authority of each officer signing on behalf of a corporation shall be furnished.

Questionnaires submitted by partnerships must furnish the full name of all partners and must be signed in the partnership name by a general partner with authority to bind the partnership in such matters, followed by the signature and designation of the person signing. The name of the person signing shall also be typed or printed below the signature.

Each person signing below makes the following representations under penalty of perjury:

The submitter of the foregoing answers to the questionnaire has read the same and the matters stated therein are true to the best of his or her own personal knowledge. This information is provided for the purpose of qualifying to bid on the Project, and any individual, company or other agency named herein is hereby authorized to supply the awarding body with any information necessary to verify the prospective bidder's statements. By signing below, the submitter and the named contractor hereby grant permission to the District to contact any or all of the above listed persons or entities to confirm facts or otherwise investigate the above facts and issues.

The submitter understands that any statement which is proven to be false shall be grounds for immediate disqualification from bidding on the Project. The submitter whose signature appears below represents and warrants that he or she has authority to bind the named contractor.

I, _____ (Name), the undersigned, am the _____ (Title), with the authority to act for and on behalf of _____ (Contractor Entity Name), declare under penalty of perjury under the laws of the State of California that the foregoing information provided in this Pre-qualification Questionnaire is true, full, and correct.

I understand that making a false statement may result in disqualification from bidding on any public works project, registering as a Public Works Contractor with the Department of Industrial Relations, and may be grounds for termination of a public works contract.

Executed on this: _____ day of _____ [Month / Year]
at _____ [City / State].

Name of Contractor Representative: _____

Signature of Contractor Representative: _____

END OF QUESTIONNAIRE

ATTACHMENT NO. 1
MINIMUM INSURANCE REQUIREMENTS

The Contractor shall maintain the following insurance during the performance of all Work under this Contract. Should any of the required coverage lapse or be cancelled during the term of this Contract, the Contractor shall be obligated to notify the District immediately in writing.

- A. Commercial General Liability Insurance, of at least \$2,000,000 per occurrence/ \$4,000,000 aggregate (if used) for bodily injury, personal injury and property damage, at least as broad as Insurance Services Office Commercial General Liability coverage (Occurrence Form CG 00 01). Policies shall not contain any exclusion contrary to this Contract including but not limited to endorsements or provisions limiting coverage for (1) contractual liability (including but not limited to ISO CG 24 26 or CG 21 39); (2) cross-liability for claims or suits by one insured against another; or (3) severability of interest. The general liability coverage shall:
- (1) Name Fallbrook Public Utility District, its Board, members of the Board, employees, and authorized volunteers additional insured status using ISO endorsement CG 20 10, CG 20 33, CG 20 38, or endorsements providing the exact same coverage for on-going operations and CG 20 37, or an endorsement providing the exact same coverage for completed operations.
 - (2) Be primary and non-contributory using ISO endorsement CG 20 01 or an endorsement providing the exact same coverage. Any insurance, self-insurance, or other coverage maintained by the District shall not contribute to it.
 - (3) Contain a waiver of transfer of rights of recovery (subrogation) using ISO endorsement CG 24 04 or an endorsement providing the exact same coverage naming Fallbrook Public Utility District, its Board, members of the Board, employees, and authorized volunteers.
- B. Automobile Liability Insurance including coverage for owned, non-owned and hired vehicles (Coverage Symbol 1, any auto) of at least \$1,000,000 per occurrence for bodily injury and property damage, at least as broad as Insurance Services Office Form Number CA 00 01; and
- C. Workers' Compensation (statutory limits) and Employer's Liability Coverage of at least \$1,000,000 per occurrence. The Workers' Compensation and Employer's Liability Coverage shall contain a waiver of transfer of rights of recovery (subrogation) using ISO endorsement WC 04 03 or an endorsement providing the exact same coverage naming Fallbrook Public Utility District, its Board, members of the Board, employees, and authorized volunteers.
- D. Builder's Risk Insurance covering all risks of direct physical loss, damage or destruction to the Work in the amount of at least the completed value of the project, with no coinsurance penalty provisions. Such insurance shall insure at least against the perils of fire and extended coverage, theft, vandalism and malicious mischief, and collapse. Fallbrook Public Utility District, its Board, members of the Board, employees, and authorized volunteers shall be named insureds on any such policy.

Insurance carriers shall have not less than an "A-" Financial Strength Rating and Financial Size Category of not less than "Class VII" according to the latest Best Key Rating Guide unless

otherwise approved by the District. Certificates of insurance and policy endorsements shall be filed with the District prior to Work commencing. If any of the required coverages expire during the term of this Contract, the Contractor shall deliver the renewal certificate(s), including all endorsements, to the District prior to the expiration date. The District reserves the right to obtain complete, certified copies of all required insurance policies or policy declarations page and/or endorsement page showing all policy endorsements.

Each insurance policy required by this Contract shall be endorsed to state that: (1) coverage shall not be suspended, voided, reduced or cancelled except with notice given to the District; and (2) any failure to comply with reporting or other provisions of the policies, including breaches of warranties, shall not affect coverage provided to the District, its directors, officials, officers, agents, employees, and representatives. In the event any insurer providing coverage required under this Contract shall fail to provide the notice required in this section, Contractor shall be responsible to provide such notice to the District. Contractor is responsible to replace any and all policies required under this Contract which are cancelled during the term of this Contract no later than the effective date of cancellation.

Notwithstanding the minimum limits set forth in this section, any available insurance proceeds in excess of the specified minimum limits of coverage shall be available to the parties required to be named as additional insureds. Defense costs shall be paid in addition to the limits.

Any failure to comply with reporting or other provisions of the policies, including breaches of warranties, shall not affect coverage provided to the District, its Board, members of the Board, employees, or authorized volunteers.

Contractor shall pass down the insurance obligations contained herein to all tiers of sub-contractors working under this Contract.

END OF INSURANCE REQUIREMENTS

ATTACHMENT NO. 2 SCORING INSTRUCTIONS

The score-able questions are listed in Part II(C), Prequalification Scored Questions.

PART II SCORING INSTRUCTIONS

Question Number	Factor #1 - Points	Factor #2 - Points	Multiplying Factor	Total Points
1			x2	
2			x2	
3			x2	
4			x1	
5			x2	
6			x2	
7			x1	
8			x2	
9			x1	
10			x2	
11			x2	
12			x2	
13			x1	
14			x1	
15			x2	
16			x2	
17			x2	
18			x2	
19			x1	
20			x2	
21			x1	
Total			=	

Factor #1: Whether the incident was a good faith mistake and, if so, the error was promptly and voluntarily corrected when brought to the attention of the Contractor or Subcontractor.

Factor #2: Whether the Contractor or Subcontractor has a prior history or several incidents are reported.

Questions Type:

14 Red Flag Questions (x2)

7 Non-Red Flag Questions (x1)

Notes: District will review additional documents provided by the Contractor in response to the questions in Section D to calculate a final score using the two factors listed above. A score on a scale of 1 to 5 is assigned to each factor.

Factor #1: A score of 1 is assigned where the incident is clearly the result of a good-faith mistake, and a score of 5 is assigned where the incident is clearly an overtly willful action for which the Contractor is culpable.

Factor #2: A score of 1 is assigned where only a single incident exists, and a score of 5 is assigned where five or more incidents of that kind are reported.

A contractor is PRE-QUALIFIED if the total score above is: 35 points or LESS.

A contractor is DISQUALIFIED if the total score above is: GREATER THAN 35 points.

FALLBROOK PUBLIC UTILITY DISTRICT
PREQUALIFICATION OF BIDDERS FOR PIPELINE & VALVE REPLACEMENT PROJECT
PREQUALIFICATION EVALUATION

Contractor Name: _____

	Evaluation Criteria	Required Score	Actual Score
Part I:	Essential Requirements	Pass	Pass / Fail (circle one)
Part II:	C – Prequalification Scored Questions	35 points	